

**RESOLUTION NO. 76519**

**A RESOLUTION OF THE COUNCIL OF THE CITY OF SAN  
JOSE AMENDING THE CONFLICT OF INTEREST CODE  
FOR THE CITY OF SAN JOSE**

**WHEREAS**, pursuant to Sections 87300, 87302 and 87303 of the California Political Reform Act of 1974, the City Council of the City of San José ("City Council") has adopted and incorporated by reference the terms of the standard model conflict of interest code adopted by the Fair Political Practices Commission (FPPC) by Title 2, Division 6, California Code of Regulations, Section 18730; and

**WHEREAS**, the City Council has thereby approved a list setting forth (1) designated positions of officers, employees, members and consultants of the City; and (2) required conflict of interest disclosure categories; and

**WHEREAS**, that list should be amended and updated due to changes in organization and authority of City departments, boards, commissions and committees; and

**WHEREAS**, the City Council held a public hearing on December 18, 2012 on the proposed amendments following the notice required by law;

**NOW, THEREFORE, BE IT RESOLVED BY THE COUNCIL OF THE CITY OF SAN JOSÉ THAT:**

1. In accordance with Section 87303 of the Political Reform Act, the City of San José Conflict of Interest Code is amended in its entirety by this Resolution and the appendices attached hereto.
2. The terms of Title 2, Division 6, California Code of Regulations, Section 18730, and any amendments thereto, taken with appendices attached to this Resolution

and incorporated by reference constitute the Conflict of Interest Code for all City of San José departments, boards, commissions and committees.

3. Persons holding designated positions listed in the attached Appendix I, including temporary employees of the City of San Jose and consultants who are required to disclose pursuant to Appendix II, must file the Form 700 – Statement of Economic Interests when required by the California Political Reform Act or notified to do so by the City Clerk or designee or by the terms of an employee or consultant agreement with the City in accordance with the Act.
4. Pursuant to the City Auditor's report in Audit 08-02, in the event a designated filer does not file his or her Form 700 in a timely manner, and after the City Clerk has sent one reminder to the filer, the City Clerk will notify the Department Director that the filer – whether he or she is an employee, member of a board, commission or committee, temporary employee or consultant – has not complied with the disclosure requirements. If the designated filer is an employee, he or she may be recommended for disciplinary action by the Department.

ADOPTED this 18th day of December, 2012, by the following vote:

AYES: CAMPOS, CHU, CONSTANT, HERRERA, KALRA,  
LICCARDO, NGUYEN, OLIVERIO, PYLE, ROCHA; REED.

NOES: NONE.

ABSENT: NONE.

DISQUALIFIED: NONE.



CHUCK REED  
Mayor

ATTEST:



TONI J. TABER, CMC  
Acting City Clerk

# APPENDIX I

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Designated Positions	Reportable Disclosure Categories		
	Investments, Business Positions, Sources of Income	Real Property Interests	Gifts
Dept: City Council			
Mayor*	Yes	Yes	Yes
Council Members*	Yes	Yes	Yes
Mayor's Executive Officer	Yes (1)	Yes	Yes (2)
Council Aide	Yes (1)	Yes	Yes (2)
Council Assistants	Yes (1)	Yes	Yes (2)
Council Assistant I / II	Yes (1)	Yes	Yes (2)
Council Chief of Staff	Yes (1)	Yes	Yes (2)
Council Community Relations Aide	Yes (1)	Yes	Yes (2)
Council Community Relations Coordinator	Yes (1)	Yes	Yes (2)
Council Community Relations Director	Yes (1)	Yes	Yes (2)
Council Community Relations Representative	Yes (1)	Yes	Yes (2)
Council Policy & Legislative Advisor	Yes (1)	Yes	Yes (2)
Council Policy & Legislative Aide	Yes (1)	Yes	Yes (2)
Council Policy & Legislative Analyst	Yes (1)	Yes	Yes (2)
Council Policy & Legislative Director	Yes (1)	Yes	Yes (2)
Senior Council Assistant	Yes (1)	Yes	Yes (2)
Temporary Unclassified (3)	Yes (3)	Yes (3)	Yes (3)

\* Indicates Officials listed in California Government Code Section 87200.

If no limitation is described, the reportable disclosure categories should follow the instructions to the Form 700 – Statement of Economic Interests provided by the Fair Political Practices Commission.

Reportable economic interests are limited as follows:

- (1) Investments (in), business positions (in) and income (from) any business entity located in or doing business in the City.
- (2) Gifts from sources located in or doing business in the City.
- (3) Any Temporary Unclassified employee, including a Rehired Retiree, is a designated employee if their assigned duties, responsibilities, and authority are substantially similar to the duties, responsibilities, and authority of a designated employee and the Temporary Unclassified employee must file using the same Reportable Disclosure Categories identified for the similar employee .

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Designated Positions	Reportable Disclosure Categories		
	Investments, Business Positions, Sources of Income	Real Property Interests	Gifts
<b>Dept: Office of the City Attorney</b>			
City Attorney U*	Yes	Yes	Yes
Assist City Attorney SII	Yes	Yes	Yes
Assist City Attorney U	Yes	Yes	Yes
Chief Deputy City Attorney SII	Yes (1)	Yes	Yes (2)
Chief Deputy City Attorney U	Yes (1)	Yes	Yes (2)
Chief Trial Attorney SII	Yes (1)	Yes	Yes (2)
Deputy City Attorney SII	Yes (1)	Yes	Yes (2)
Deputy City Attorney U	Yes (1)	Yes	Yes (2)
Legal Services Admin U	Yes (1)	Yes	Yes (2)
Legal Services Manager U	Yes (1)	Yes	Yes (2)
Senior Deputy City Attorney SII	Yes (1)	Yes	Yes (2)
Senior Deputy City Attorney U	Yes (1)	Yes	Yes (2)
Temporary Unclassified	Yes (3)	Yes (3)	Yes (3)

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2. Gifts from sources located in or doing business in the City.
3. Any Temporary Unclassified employee, including a Rehired Retiree, is a designated employee if their assigned duties, responsibilities, and authority are substantially similar to the duties, responsibilities, and authority of a designated employee and the Temporary Unclassified employee must file using the same Reportable Disclosure Categories identified for the similar employee .

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<b>Designated Positions</b>	<b>Reportable Disclosure Categories</b>		
	<b>Investments, Business Positions, Sources of Income</b>	<b>Real Property Interests</b>	<b>Gifts</b>
<b>Dept: Office of the City Auditor</b>			
City Auditor	Yes	Yes	Yes
Temporary Unclassified	Yes (1)	Yes (1)	Yes (1)

If no limitation is described, the reportable disclosure categories should follow the instructions to the Form 700 – Statement of Economic Interests provided by the Fair Political Practices Commission.

- (1) Any Temporary Unclassified employee, including a Rehired Retiree, is a designated employee if their assigned duties, responsibilities, and authority are substantially similar to the duties, responsibilities, and authority of a designated employee and the Temporary Unclassified employee must file using the same Reportable Disclosure Categories identified for the similar employee .

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Designated Positions	Reportable Disclosure Categories		
	Investments, Business Positions, Sources of Income	Real Property Interests	Gifts
Dept: Office of the City Manager – Administration			
City Manager U*	Yes	Yes	Yes
Assistant City Manager U	Yes	Yes	Yes
Deputy City Manager U	Yes	Yes	Yes
Director of Legislative and Public Policy	Yes	Yes	Yes
Director of Communications	Yes	Yes	Yes
Assistant to the City Manager U (PCN: 12209; 13909; 6805; 5804; 15227)	Yes (1)	Yes	Yes (2)
Senior Executive Analyst U	Yes (1)	Yes	Yes (2)
Legislative Research Specialist U	Yes	Yes	Yes
Temporary Unclassified (3)	Yes (3)	Yes (3)	Yes (3)

Designated Positions	Reportable Disclosure Categories		
	Investments, Business Positions, Sources of Income	Real Property Interests	Gifts
Dept: Office of the City Manager – OMB			
Budget Director U	Yes	Yes	Yes
Assistant Director U – Budget	Yes	Yes	Yes
Deputy Director U – Budget	Yes	Yes	Yes
Assistant to the City Manager (PCN: 0022, 5006, 7156)	Yes (1)	Yes	Yes (2)
Temporary Unclassified (3)	Yes (3)	Yes (3)	Yes (3)

Designated Positions	Reportable Disclosure Categories		
	Investments, Business Positions, Sources of Income	Real Property Interests	Gifts
Dept: Office of the City Manager – OER			
Director of Employee Relations	Yes	Yes	Yes
Deputy Director	Yes	Yes	Yes
Temporary Unclassified (3)	Yes (3)	Yes (3)	Yes (3)

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2. Gifts from sources located in or doing business in the City.
3. Any Temporary Unclassified employee, including a Rehired Retiree, is a designated employee if their assigned duties, responsibilities, and authority are substantially similar to the duties, responsibilities, and authority of a designated employee and the Temporary Unclassified employee must file using the same Reportable Disclosure Categories identified for the similar employee.

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Designated Positions Dept: Office of the City Manager OED/Bus Attraction/Retention	Reportable Disclosure Categories		Gifts
	Investments, Business Positions, Sources of Income	Real Property Interests	
Director of Economic Development U	Yes	Yes	Yes
Assistant Director of Economic Development U	Yes	Yes	Yes
Deputy Director	Yes	Yes	Yes
Division Manager	Yes	Yes	Yes
Senior Executive Analyst – Downtown Coordinator.	Yes	Yes	Yes
Temporary Unclassified (3)	Yes (3)	Yes (3)	Yes (3)

Designated Positions Dept: Office of the City Manager OED/Work2Future	Reportable Disclosure Categories		Gifts
	Investments, Business Positions, Sources of Income	Real Property Interests	
Division Manager	Yes (1)	No	Yes (2)
Temporary Unclassified (3)	Yes (3)	Yes (3)	Yes (3)

Designated Positions Dept: Office of the City Manager OED/Office of Cultural Affairs (OCA)	Reportable Disclosure Categories		Gifts
	Investments, Business Positions, Sources of Income	Real Property Interests	
Deputy Director (OCA)	Yes	Yes	Yes
Section Manager (OCA) – Public Art Program	Yes	Yes	Yes
Temporary Unclassified (3)	Yes (3)	Yes (3)	Yes (3)

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2. Gifts from sources located in or doing business in the City.
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<b>Designated Positions</b>  <b>Dept: Office of the City Clerk</b>	<b>Reportable Disclosure Categories</b>		
	<b>Investments, Business Positions, Sources of Income</b>	<b>Real Property Interests</b>	<b>Gifts</b>
City Clerk U	Yes	No	Yes
Assistant City Clerk U	Yes	No	Yes
Senior Analyst	Yes (1)	No	Yes (2)
Temporary Unclassified (3)	Yes (3)	Yes (3)	Yes (3)

If no limitation is described, the reportable disclosure categories should follow the instructions to the Form 700 – Statement of Economic Interests provided by the Fair Political Practices Commission.

Reportable economic interests are limited as follows:

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2. Gifts from sources located in or doing business in the City.
3. Any Temporary Unclassified employee, including a Rehired Retiree, is a designated employee if their assigned duties, responsibilities, and authority are substantially similar to the duties, responsibilities, and authority of a designated employee and the Temporary Unclassified employee must file using the same Reportable Disclosure Categories identified for the similar employee .

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Designated Positions	Reportable Disclosure Categories		
	Investments, Business Positions, Sources of Income	Real Property Interests	Gifts
<b>Dept: Airport</b>			
Director of Aviation	Yes	Yes	Yes
Assistant Director U	Yes	Yes	Yes
Assistant to the Director	Yes	Yes	Yes
Deputy Director U	Yes	Yes	Yes
Deputy Director Aviation	Yes	Yes	Yes
Administrative Officer	Yes (1)	Yes (2)	Yes (3)
Airport Noise Abatement Manager	Yes (1)	Yes (2)	Yes (3)
Airport Operations Manager	Yes (1)	Yes (2)	Yes (3)
Airport Operations Superintendent	Yes (1)	Yes (2)	Yes (3)
Building Management Admin	Yes (1)	Yes (2)	Yes (3)
Division Manager	Yes (1)	Yes (2)	Yes (3)
Principal Accountant	Yes (1)	Yes (2)	Yes (3)
Program Manager I, II	Yes (1)	Yes (2)	Yes (3)
Property Manager I/II	Yes (1)	Yes (2)	Yes (3)
Senior Analyst (13129, 13414, 15220, 15318)	Yes (1)	Yes (2)	Yes (3)
Senior Engineer	Yes (1)	Yes (2)	Yes (3)
Senior Planner	Yes (1)	Yes (2)	Yes (3)
Supervising Property Manager	Yes (1)	Yes (2)	Yes (3)
Supervisor, Trades	Yes (1)	Yes (2)	Yes (3)
Temporary Unclassified (4)	Yes (4)	Yes (4)	Yes (4)

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1. Investments (in), business positions (in) and income (from) any business entity located in, doing business in or planning to do business in the City.
2. "Interests in real property located in the City, including property located within a two-mile radius of any property owned or used by the City and/or within the Airport Noise Impact Area."
3. Gifts from sources located in, doing business in, or planning to do business in the City.
4. Any Temporary Unclassified employee, including a Rehired Retiree, is a designated employee if their assigned duties, responsibilities, and authority are substantially similar to the duties, responsibilities, and authority of a designated employee and the Temporary Unclassified employee must file using the same Reportable Disclosure Categories identified for the similar employee .

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Designated Positions	Reportable Disclosure Categories		
	Investments, Business Positions, Sources of Income	Real Property Interests	Gifts
<b>Dept: Environmental Services</b>			
Director of Environmental Services U	Yes	Yes	Yes
Assistant Director	Yes	Yes	Yes
Deputy Director U	Yes	Yes	Yes
Administrative Officer	Yes (1)	Yes	Yes (2)
Associate Engineer (PCN: 2304, 4100, 6076, 6972, 7504, 9138, 13201, 15148, 16109, 16110,)	Yes (1)	Yes	Yes (2)
Division Manager	Yes (1)	Yes	Yes (2)
Environment Compliance Officer	Yes (1)	Yes	Yes (2)
Environmental Inspector I, II	Yes (1)	Yes	Yes (2)
Environmental Inspector, Senior	Yes (1)	Yes	Yes (2)
Environmental Lab Manager	Yes (1)	Yes	Yes (2)
Environmental Services Program Manager	Yes (1)	Yes	Yes (2)
Financial Analyst	Yes (1)	No	Yes (2)
Maintenance Superintendent	Yes (1)	No	Yes (2)
Marketing & Public Outreach Manager	Yes (1)	Yes	Yes (2)
Principal Accountant	Yes (1)	Yes	Yes (2)
Program Manager II	Yes (1)	Yes	Yes (2)
Senior Engineer	Yes (1)	Yes	Yes (2)
Supervising Environmental Services Specialist (PCN: 6270, 7041, 7474, 7757, 7971, 16099)	Yes (1)	Yes	Yes (2)
Sustainability & Compliance Officer	Yes (1)	No	Yes (2)
Systems Control Supervisor	Yes (1)	No	Yes (2)
Temporary Unclassified (3)	Yes (3)	Yes (3)	Yes (3)

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Reportable economic interests are limited as follows:

1. Investments (in), business positions (in) and income (from) any business entity located in or doing business in the San Jose/Santa Clara Water Pollution Control Plant service area (including San Jose, Milpitas, Santa Clara, Campbell, Los Gatos, Monte Sereno, Saratoga, Cupertino and the unincorporated areas of Santa Clara County).
2. Gifts from sources located in or doing business in the San Jose/Santa Clara Water Pollution Control Plant service area (including San Jose, Milpitas, Santa Clara, Campbell, Los Gatos, Monte Sereno, Saratoga, Cupertino and the unincorporated areas of Santa Clara County).
3. Any Temporary Unclassified employee, including a Rehired Retiree, is a designated employee if their assigned duties, responsibilities, and authority are substantially similar to the duties, responsibilities, and authority of a designated employee and the Temporary Unclassified employee must file using the same Reportable Disclosure Categories identified for the similar employee .

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Designated Positions  Dept: Finance	Reportable Disclosure Categories		
	Investments, Business Positions, Sources of Income	Real Property Interests	Gifts
Director of Finance U*	Yes	Yes	Yes
Assistant Director U *	Yes	Yes	Yes
Administrative Officer	Yes (1)	Yes	Yes (2)
Buyer I/II	Yes	No	Yes (2)
Division Manager - Purchasing (PCN 16469)	Yes	Yes	Yes (2)
Division Manager - Accounting (PCN 16333)	Yes (1)	No	Yes (2)
Division Manager -Treasury * (PCN 16436)	Yes	Yes	Yes (2)
Division Manager - Revenue Management (PCN 16332)	Yes (1)	Yes	Yes (2)
Debt Administrator (PCN: 16679)	Yes (1)	No	Yes (2)
Retirement Investment Officer (PCN: 16678)	Yes	Yes	Yes
Financial Analyst (PCNs: 15814, 0164, 15147, 8295)	Yes (1)	No	Yes (2)
Principal Accountant	Yes (1)	No	Yes (2)
Program Manager II	Yes (1)	No	Yes (2)
Senior Analyst	Yes	No	Yes (2)
Senior Investigator Collector	Yes (1)	No	Yes (2)
Temporary Unclassified – Rehired Retiree (3)	(3)	(3)	(3)
Senior Analyst	Yes	No	Yes (2)
Senior Investigator Collector	Yes (1)	No	Yes (2)
Temporary Unclassified (3)	Yes (3)	Yes (3)	Yes (3)

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Designated Positions	Reportable Disclosure Categories		
	Investments, Business Positions, Sources of Income	Real Property Interests	Gifts
<b>Dept: Fire Department</b>			
Fire Chief U	Yes	Yes	Yes
Assistant Fire Chief U	Yes	Yes	Yes
Deputy Director U	Yes	Yes	Yes
Deputy Fire Chief U	Yes	No	Yes
Administrative Officer	Yes (1)	Yes	Yes (2)
Arson Investigator	Yes (1)	Yes	Yes (2)
Associate Engineer R/UR	Yes (1)	Yes	Yes (2)
Battalion Chief - Assistant Fire Marshall (1508)	Yes (1)	Yes	Yes (2)
Battalion Chief -- Communications (14579)	Yes (1)	No	Yes (2)
Battalion Chief - EMS (1511)	Yes (1)	No	Yes (2)
Battalion Chief - Facilities Chief (6141)	Yes (1)	No	Yes (2)
Battalion Chief -- Safety Officer (1519)	Yes (1)	No	Yes (2)
Battalion Chief -- Training (1512)	Yes (1)	No	Yes (2)
Contract Doctor - EMS Doctor	Yes (1)	No	Yes (2)
Fire Captain -- EMS (PCN: 1576, 1628, 7633)	Yes (1)	No	Yes (2)
Fire Captain -- Apparatus Captain (8332)	Yes (1)	No	Yes (2)
Fire Captain -- Arson Captain (8440)	Yes (1)	Yes	Yes (2)
Fire Captain -- Facilities Captain (1552)	Yes (1)	No	Yes (2)
Fire Captain - BFP (1620)	Yes (1)	Yes	Yes (2)
Fire Prevention Inspector	Yes (1)	Yes	Yes (2)
Hazardous Materials Inspector I/II	Yes (1)	Yes	Yes (2)
Senior Permit Specialist	Yes (1)	Yes	Yes (2)
Permit Specialist	Yes (1)	Yes	Yes (2)
Program Manager I/II	Yes (1)	No	Yes (2)
Senior Engineer	Yes (1)	Yes	Yes (2)
Senior Hazardous Materials Inspector	Yes (1)	Yes	Yes (2)
Supervising Public Safety Dispatcher	Yes (1)	No	Yes (2)
Temporary Unclassified (3)	Yes (3)	Yes (3)	Yes (3)

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Designated Positions	Reportable Disclosure Categories		
	Investments, Business Positions, Sources of Income	Real Property Interests	Gifts
<b>Dept: General Services</b>			
Director of General Services U	Yes	Yes	Yes
Deputy Director U	Yes	Yes	Yes
Division Manager	Yes (1)	No	Yes (2)
Administrative Officer	Yes (1)	No	Yes (2)
Building Management Administrator	Yes (1)	No	Yes (2)
Supervising Real Property Agent	Yes (1)	Yes	Yes (2)
Real Property Agent	Yes (1)	Yes	Yes (2)
Temporary Unclassified (3)	Yes (3)	Yes (3)	Yes (3)

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Designated Positions  Dept: Housing	Reportable Disclosure Categories		
	Investments, Business Positions, Sources of Income	Real Property Interests	Gifts
Director of Housing U	Yes	Yes	Yes
Assistant Director U	Yes	Yes	Yes
Administrative Officer	Yes (1)	Yes	Yes (2)
Building Inspector/Comb (Cert)	Yes (1)	Yes	Yes (2)
Building Rehabilitation Inspector I/II	Yes (1)	Yes	Yes (2)
Building Rehabilitation Supervisor	Yes (1)	Yes	Yes (2)
Development Officer	Yes (1)	Yes	Yes (2)
Division Manager	Yes	Yes	Yes (2)
Housing Policy and Planning Administrator	Yes	Yes	Yes (2)
Marketing/Public Outreach Manager	Yes (1)	Yes	Yes (2)
Senior Accountant	Yes (1)	Yes	Yes (2)
Senior Analyst	Yes (1)	Yes	Yes (2)
Senior Development Officer	Yes (1)	Yes	Yes (2)
Temporary Unclassified (3)	Yes (3)	Yes (3)	Yes (3)

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3. Any Temporary Unclassified employee, including a Rehired Retiree, is a designated employee if their assigned duties, responsibilities, and authority are substantially similar to the duties, responsibilities, and authority of a designated employee and the Temporary Unclassified employee must file using the same Reportable Disclosure Categories identified for the similar employee .

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Designated Positions	Reportable Disclosure Categories		
	Investments, Business Positions, Sources of Income	Real Property Interests	Gifts
<b>Dept: Human Resources</b>			
Director of Human Resources U	Yes	Yes	Yes
Deputy Director U	Yes	Yes	Yes
Division Manager	Yes (1)	No	Yes (2)
Program Manager II	Yes (1)	Yes	Yes (2)
Administrative Officer	Yes (1)	No	Yes (2)
Physician	Yes (1)	No	Yes
Temporary Unclassified (3)	Yes (3)	Yes (3)	Yes (3)

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Designated Positions	Reportable Disclosure Categories		
	Investments, Business Positions, Sources of Income	Real Property Interests	Gifts
Dept: Independent Police Auditor			
Independent Police Auditor	Yes	Yes	Yes
Deputy Director U	Yes	Yes	Yes
Temporary Unclassified (1)	Yes (1)	Yes (1)	Yes (1)

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Designated Positions	Reportable Disclosure Categories		
	Investments, Business Positions, Sources of Income	Real Property Interests	Gifts
Dept: Information Technology			
Director of Information Technology U	Yes	Yes	Yes
Deputy Director U	Yes	Yes	Yes
Administrative Officer	Yes (1)	Yes	Yes (2)
Program Manager I/II	Yes (1)	Yes	Yes (2)
Senior Analyst (PCN: 15774)	Yes (1)	Yes	Yes (2)
Temporary Unclassified (3)	Yes (3)	Yes (3)	Yes (3)

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Designated Positions	Reportable Disclosure Categories		
	Investments, Business Positions, Sources of Income	Real Property Interests	Gifts
Dept: Library			
City Librarian U	Yes	Yes	Yes
Assist Dir U	Yes	Yes	Yes
Administrative Officer	Yes (1)	No	Yes (2)
Division Manager	Yes	No	Yes (2)
Senior Librarian (PCN #3663)	Yes (1)	No	Yes (2)
Temporary Unclassified (3)	Yes (3)	Yes (3)	Yes (3)

If no limitation is described, the reportable disclosure categories should follow the instructions to the Form 700 – Statement of Economic Interests provided by the Fair Political Practices Commission.

Reportable economic interests are limited as follows:

1. Investments (in), business positions (in) and income (from) any business entity located in or doing business in the City.
2. Gifts from sources located in or doing business in the City.
3. Any Temporary Unclassified employee, including a Rehired Retiree, is a designated employee if their assigned duties, responsibilities, and authority are substantially similar to the duties, responsibilities, and authority of a designated employee and the Temporary Unclassified employee must file using the same Reportable Disclosure Categories identified for the similar employee .

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Designated Positions	Reportable Disclosure Categories		
	Investments, Business Positions, Sources of Income	Real Property Interests	Gifts
<b>Dept: Parks, Recreation &amp; Neighborhood Services</b>			
Director of Parks, Rec, Neighborhood Svcs	Yes	Yes	Yes
Assistant Director U	Yes	Yes	Yes
Deputy Director	Yes	Yes	Yes
Deputy Director Parks, Rec, & Neighborhood Svcs (U)	Yes	Yes	Yes
Deputy Director U	Yes	Yes	Yes
Administrative Officer	Yes (1)	Yes	Yes (2)
Division Manager	Yes (1)	Yes	Yes (2)
Parks Manager	Yes (1)	Yes	Yes (2)
Recreation Superintendent	Yes (1)	Yes	Yes (2)
Senior Landscape Architect	Yes (1)	Yes	Yes (2)
Temporary Unclassified	Yes (3)	Yes (3)	Yes (3)

If no limitation is described, the reportable disclosure categories should follow the instructions to the Form 700 -- Statement of Economic Interests provided by the Fair Political Practices Commission.

Reportable economic interests are limited as follows:

1. Investments (in), business positions (in) and income (from) any business entity located in or doing business in the City.
2. Gifts from sources located in or doing business in the City.
3. Any Temporary Unclassified employee, including a Rehired Retiree, is a designated employee if their assigned duties, responsibilities, and authority are substantially similar to the duties, responsibilities, and authority of a designated employee and the Temporary Unclassified employee must file using the same Reportable Disclosure Categories identified for the similar employee .

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Designated Positions  Dept: Planning, Building & Code Enforcement	Reportable Disclosure Categories		
	Investments, Business Positions, Sources of Income	Real Property Interests	Gifts
Director Planning, Bldg & Code Enforcement U	Yes	Yes	Yes
Assistant Director Planning, Bldg & Code Enforcement U	Yes	Yes	Yes
Deputy Director U	Yes	Yes	Yes
Administrative Officer	Yes (1)	Yes	Yes (2)
Assoc Architect/Landscape Architect	Yes (1)	Yes	Yes (2)
Associate Engineer	Yes (1)	Yes	Yes (2)
Building Inspection Manager	Yes (1)	Yes	Yes (2)
Building Inspector, Supervisor	Yes (1)	Yes	Yes (2)
Building Inspector, Supervisor Cert	Yes (1)	Yes	Yes (2)
Building Inspector/Comb	Yes (3)	Yes (3)	Yes (2)
Building Inspector/Comb Cert	Yes (3)	Yes (3)	Yes (2)
Code Enforcement Inspector I/II	Yes (1)	Yes	Yes (2)
Code Enforcement Supervisor	Yes (1)	Yes	Yes (2)
Environment Inspector I/II	Yes (1)	Yes	Yes (2)
Division Manager	Yes (1)	Yes	Yes (2)
Permit Specialist	Yes (1)	Yes	Yes (2)
Permit Specialist, Senior	Yes (1)	Yes	Yes (2)
Planner I/II	Yes (1)	Yes	Yes (2)
Planner II PT	Yes (1)	Yes	Yes (2)
Principal Permit Specialist	Yes (1)	Yes	Yes (2)
Principal Planner	Yes (1)	Yes	Yes (2)
Program Manager	Yes (1)	Yes	Yes (2)
Senior Analyst	Yes (1)	Yes	Yes (2)
Senior Architect/Landscape Architect	Yes (1)	Yes	Yes (2)
Senior Planner	Yes (1)	Yes	Yes (2)
Senior Engineer	Yes (1)	Yes	Yes (2)
Supervising Environmental Service Specialist	Yes (1)	Yes	Yes (2)
Temporary Unclassified (4)	Yes (4)	Yes (4)	Yes (4)

If no limitation is described, the reportable disclosure categories should follow the instructions to the Form 700 -- Statement of Economic Interests provided by the Fair Political Practices Commission.

Reportable economic interests are limited as follows:

1. Investments (in), business positions (in) and income (from) any business entity located in, doing business in, or planning to do business in the City.
- (2) Gifts from sources located in, doing business in, or planning to do business in the City.
- (3) Investments or interests in real property and income received from any person, business entity, or parcel of real property which was the subject of a case assignment during the period covered by the statement.
- (4) Any Temporary Unclassified employee, including a Rehired Retiree, is a designated employee if their assigned duties, responsibilities, and authority are substantially similar to the duties, responsibilities, and authority of a designated employee and the Temporary Unclassified employee must file using the same Reportable Disclosure Categories identified for the similar employee.

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Designated Positions Dept: Police Department	Reportable Disclosure Categories		
	Investments, Business Positions, Sources of Income	Real Property Interests	Gifts
Chief of Police U.	Yes	Yes	Yes
Assistant Police Chief U	Yes	Yes	Yes
Deputy Chief of Police	Yes	Yes	Yes
Deputy Director U – Gaming Control (PCN 12722)	Yes	Yes	Yes
Police Captain	Yes	Yes	Yes
Administrative Officer – Fiscal (PCN 0471)	Yes (1)	No	Yes (2)
Administrative Officer–Crime Analysis (PCN 12418)	Yes (1)	No	Yes (2)
Division Manager, Public Safety (PCN 6353)	Yes (1)	No	Yes (2)
Division Manager, Public Safety (PCN 8392)	Yes (1)	No	Yes (2)
Police Lieutenant	Yes (1)	No	Yes (2)
Program Manager II – Communications (PCN 13776)	Yes (1)	No	Yes (2)
Senior Police Property Spec (PCN 4727)	Yes (1)	No	Yes (2)
Supervising Auditor – Gaming Control (PCN 12723)	Yes	No	Yes
Auditor – Gaming Control (PCN 16185, 16186)	Yes	No	Yes
Police Sergeant – Horse Mounted Unit (PCN 7223)	Yes (1)	No	Yes (2)
Police Sergeant – PAL (PCN 813)	Yes (1)	No	Yes (2)
Police Sergeant–Research & Dev/Fleet Mgr. (PCN 824)	Yes (1)	No	Yes (2)
Police Sergeant – City Attorney’s Office (PCN 6298)	Yes (1)	No	Yes (2)
Police Sergeant – Air Support (PCN 737)	Yes (1)	No	Yes (2)
Police Sergeant – CIT (PCN 712)	Yes (1)	No	Yes (2)
Police Sergeant – BOMB (PCN 5198)	Yes (1)	No	Yes (2)
Police Sergeant – Gaming Control (PCN 00676)	Yes (1)	No	Yes (2)
Police Officer – Gaming Control (PCN 1020, 1066, 1364)	Yes (1)	No	Yes (2)
Senior Analyst (Safety Officer, PCN 12714)	Yes (1)	No	Yes (2)
Temporary Unclassified (3)	Yes (3)	Yes (3)	Yes (3)

If no limitation is described, the reportable disclosure categories should follow the instructions to the Form 700 – Statement of Economic Interests provided by the Fair Political Practices Commission.

Reportable economic interests are limited as follows:

1. Investments (in), business positions (in) and income (from) any business entity located in, doing business in, or planning to do business in the City.
2. Gifts from sources located in, doing business in, or planning to do business in the City.
3. Any Temporary Unclassified employee, including a Rehired Retiree, is a designated employee if their assigned duties, responsibilities, and authority are substantially similar to the duties, responsibilities, and authority of a designated employee and the Temporary Unclassified employee must file using the same Reportable Disclosure Categories identified for the similar employee.

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Designated Positions	Reportable Disclosure Categories		
	Investments, Business Positions, Sources of Income	Real Property Interests	Gifts
<b>Dept: Public Works</b>			
Director of Public Works U	Yes	Yes	Yes
Assistant Director U	Yes	Yes	Yes
Deputy Director of Public Works	Yes	Yes	Yes
Administrative Officer	Yes (1)	Yes	Yes (2)
Associate Architect/Landscape Architect	Yes (1)	Yes	Yes (2)
Associate Construction Inspector	Yes (1)	Yes	Yes (2)
Associate Engineer	Yes (1)	Yes	Yes (2)
Associate Structure/Landscape Designer	Yes (1)	Yes	Yes (2)
Building Inspector, Supervisor	Yes (1)	Yes	Yes (2)
Building Inspector/Comb	Yes (1)	Yes	Yes (2)
Building Inspector/Comb (Cert)	Yes (1)	Yes	Yes (2)
Building Inspector, Supervisor (Cert)	Yes (1)	Yes	Yes (2)
Chief of Surveys	Yes (1)	Yes	Yes (2)
Contract Compliance Coordinator	Yes (1)	Yes	Yes (2)
Construction Manager	Yes (1)	Yes	Yes (2)
Division Manager	Yes (1)	Yes	Yes (2)
Land Surveyor	Yes (1)	Yes	Yes (2)
Principal Accountant	Yes (1)	Yes	Yes (2)
Principal Construction Inspector	Yes (1)	Yes	Yes (2)
Principal Engineering Technician	Yes (1)	Yes	Yes (2)
Program Manager I/II	Yes (1)	Yes	Yes (2)
Senior Architect/Landscape Architect	Yes (1)	Yes	Yes (2)
Senior Construction Inspector	Yes (1)	Yes	Yes (2)
Senior Engineer	Yes (1)	Yes	Yes (2)
Supervising Traffic Signal Technician	Yes (1)	Yes	Yes (2)
Temporary Unclassified (3)	Yes (3)	Yes (3)	Yes (3)

If no limitation is described, the reportable disclosure categories should follow the instructions to the Form 700 – Statement of Economic Interests provided by the Fair Political Practices Commission.

Reportable economic interests are limited as follows:

1. Investments (in), business positions (in) and income (from) any business entity located in, doing business in, or planning to do business in the City.
2. The Department of Public Works' Gift Policy is meant to more narrowly limit the acceptance of gifts beyond the laws already in place within the San Jose Municipal Code (Chapter 12.08: Prohibition of Gifts, Sections 12.08.010-12.08.050) and the California Fair Political Practices Commission regulations (Section 18945). Although the Department Gift Policy states that no gifts are to be accepted from any person or company subject to the decision-making authority of the City, there are exceptions to this general rule. Please see Department Gift Policy for details.
3. Any Temporary Unclassified employee, including a Rehired Retiree, is a designated employee if their assigned duties, responsibilities, and authority are substantially similar to the duties, responsibilities, and authority of a designated employee and the Temporary Unclassified employee must file using the same Reportable Disclosure Categories identified for the similar employee.

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Designated Positions	Reportable Disclosure Categories		
	Investments, Business Positions, Sources of Income	Real Property Interests	Gifts
Dept: Retirement Services			
Director of Retirement Services U*	Yes	Yes	Yes
Deputy Director U* (Chief Investment Officer)	Yes	Yes	Yes
Deputy Director U (Chief Operations Officer)	Yes	Yes	Yes
Administrative Officer (Benefits Manager)	Yes (1)	No	Yes (2)
Retirement Investment Officer *	Yes	Yes	Yes
Financial Analyst (Investments)	Yes	Yes	Yes
Temporary Unclassified (3)	Yes (3)	Yes (3)	Yes (3)

\* Indicates Officials listed in California Government Code Section 87200.

If no limitation is described, the reportable disclosure categories should follow the instructions to the Form 700 -- Statement of Economic Interests provided by the Fair Political Practices Commission.

Reportable economic interests are limited as follows:

1. Investments (in), business positions (in) and income (from) any business entity located in or doing business in the City.
2. Gifts from sources located in or doing business in the City.
3. Any Temporary Unclassified employee, including a Rehired Retiree, is a designated employee if their assigned duties, responsibilities, and authority are substantially similar to the duties, responsibilities, and authority of a designated employee and the Temporary Unclassified employee must file using the same Reportable Disclosure Categories identified for the similar employee.



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Designated Positions Dept: Department of Transportation	Reportable Disclosure Categories		
	Investments, Business Positions, Sources of Income	Real Property Interests	Gifts
Director of Transportation U	Yes	Yes	Yes
Assistant Director	Yes	Yes	Yes
Deputy Director U	Yes	Yes	Yes
Administrative Officer	Yes (1)	Yes	Yes (2)
Arborist	Yes (1)	Yes	Yes (2)
Assistant Arborist	Yes (1)	Yes	Yes (2)
Division Manager	Yes (1)	Yes	Yes (2)
Maintenance Manager	Yes (1)	Yes	Yes (2)
Operations Manager	Yes (1)	Yes	Yes (2)
Parking Manager	Yes (1)	Yes	Yes (2)
Parking & Traffic Control Supervisor	Yes (1)	Yes	Yes (2)
Principal Construction Inspector	Yes (1)	Yes	Yes (2)
Program Manager I (position 13669 only)	Yes (1)	Yes	Yes (2)
Senior Construction Inspector	Yes (1)	Yes	Yes (2)
Senior Engineer	Yes (1)	Yes	Yes (2)
Senior Parking & Traffic Control Officer	Yes (1)	Yes	Yes (2)
Senior Transportation Specialist	Yes (1)	Yes	Yes (2)
Transportation Planning Systems Manager	Yes (1)	Yes	Yes (2)
Temporary Unclassified (3)	Yes (3)	Yes (3)	Yes (3)

If no limitation is described, the reportable disclosure categories should follow the instructions to the Form 700 – Statement of Economic Interests provided by the Fair Political Practices Commission.

Reportable economic interests are limited as follows:

1. Investments (in), business positions (in) and income (from) any business entity located in or doing business in the City.
2. Gifts from sources located in or doing business in the City.
3. Any Temporary Unclassified employee, including a Rehired Retiree, is a designated employee if their assigned duties, responsibilities, and authority are substantially similar to the duties, responsibilities, and authority of a designated employee and the Temporary Unclassified employee must file using the same Reportable Disclosure Categories identified for the similar employee.

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Designated Positions	Reportable Disclosure Categories		
	Investments, Business Positions, Sources of Income	Real Property Interests	Gifts
<b>Dept: Boards &amp; Commissions</b>			
Airport Commission	Yes (1)	Yes (2)	Yes (3)
Arts Commission	Yes (1)	Yes	Yes (3)
Civil Service Commission	Yes (1)	Yes	Yes (3)
Deferred Compensation Advisory Committee*	Yes	Yes	Yes
Downtown Parking Board	Yes (1)	Yes	Yes (3)
Elections Commission	Yes	Yes	Yes (3)
Federated City Employees Retirement System Board of Administration*	Yes	Yes	Yes
Historic Landmarks Commission	Yes (1)	Yes	Yes (3)
Housing & Community Development Advisory Commission	Yes	Yes	Yes (3)
Planning Commission*	Yes	Yes	Yes
Police & Fire Department Retirement Plan Board of Administration*	Yes	Yes	Yes
San Jose Appeals Hearing Board	Yes (1)	Yes	Yes (3)
San Jose Arena Authority	Yes (1)	Yes	Yes (3)

\* Indicates Officials listed in California Government Code Section 87200 (Added Retirements Boards to 87200))

If no limitation is described, the reportable disclosure categories should follow the instructions to the Form 700 – Statement of Economic Interests provided by the Fair Political Practices Commission.

Reportable economic interests are limited as follows:

1. Investments (in), business positions (in) and income (from) any business entity located in or doing business in the City.
2. Interests in real property located in the City, including property located within a two-mile radius of any property owned or used by the City and/or eligible for treatment under the Airport Acoustical Treatment Program (ACT).
3. Gifts from sources located in or doing business in the City.

## **Appendix II**

### **Conflicts Consultant Appendix**

#### **A. Consultant's Disclosure**

(1) Each "consultant" as defined by the Political Reform Act (Government Code 81000, et seq.) and the implementing regulations adopted by the Fair Political Practices Commission is required to file disclosure Form 700 with the Agency.

(2) Only those interests which are relevant to the consultant's engagement are required to be disclosed. The appropriate interests to be disclosed shall be determined by the responsible Appointing Authority or designee, such as directors or heads of the Various City of San Jose offices or departments. Appointing Authorities are those officers of the City appointed by the City Council pursuant to the City Charter. The interests to be disclosed shall be set forth in the agreement for services between the consultant and the City of San Jose.

(3) Disclosure shall be made as to the interests of each person performing under the agreement, to the extent required by the Political Reform Act.

#### **B. Disqualification**

(1) Nothing in this Resolution should be construed to allow any official, employee, or consultant of the City of San Jose to make or participate in making or in any way attempt to influence a governmental decision in which he knows or has reason to know he has a financial interest. Disqualification in the event of a conflict of interest is governed by 871 00 and following of the Government Code and the implementing regulations promulgated pursuant to the Political Reform Act.

(2) All agreements with consultants, whether or not such a consultant is required to file a disclosure statement in accordance with this Resolution, shall provide that the consultant is obligated to disqualify himself or herself if a conflict of interest exists at any time during the term of the Agreement.